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Conflict Minerals Reporting Template (CMRT)

English

Revision 5.11
 April 27, 2018

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information	
Company Name (*):	TOPEX, Inc.
Declaration Scope or Class (*):	A. Company
Description of Scope:	Manufacturer of custom x-ray sources and high voltage power supplies
Company Unique ID:	830187758
Company Unique ID Authority:	DUNS
Address:	10 Precision Road Danbury, Connecticut 06810
Contact Name (*):	John Brenna
Email - Contact (*):	jbrenna@topexmedical.com
Phone - Contact (*):	(203) 748-5918
Authorizer (*):	John Brenna
Title - Authorizer:	President
Email - Authorizer (*):	jbrenna@topexmedical.com
Phone - Authorizer (*):	(203) 748-5918
Effective Date (*):	17-May-2018

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Tantalum (*)	Yes	Sometimes used for special electronic capacitor components
Tin (*)	Yes	Used for soldering connections
Gold	No	Can be an integral part of an electrical connector component
Tungsten (*)	Yes	Used in x-ray tubes

2) Does any 3TG remain in the product(s)? (*)	Answer	Comments
Tantalum (*)	Yes	In some electrical capacitor components
Tin (*)	Yes	Solder connections
Gold	Yes	Can be an integral part of an electrical connector component
Tungsten (*)	Yes	Used in x-ray tubes

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)	Answer	Comments
Tantalum (*)	Yes	Stated in supplier CFM reports and SEC filings
Tin (*)	Yes	Stated in supplier CFM reports and SEC filings
Gold	Yes	Stated in supplier CFM reports and SEC filings
Tungsten (*)	No	

4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)	Answer	Comments
Tantalum (*)	Unknown	
Tin (*)	No	Some smelters may use recycled materials
Gold	No	Some smelters may use recycled materials
Tungsten (*)	No	

5) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)	Answer	Comments
Tantalum (*)	100%	Conflict Minerals information is required annually from relevant suppliers (Copies on file)
Tin (*)	100%	Conflict Minerals information is required annually from relevant suppliers (Copies on file)
Gold	100%	Conflict Minerals information is required annually from relevant suppliers (Copies on file)
Tungsten (*)	100%	Conflict Minerals information is required annually from relevant suppliers (Copies on file)

6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	No	
Gold	Yes	
Tungsten (*)	Yes	



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7) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Tantalum (*)	No	Conflict Minerals information is required annually from relevant suppliers (Copies on file)
Tin (*)	No	Conflict Minerals information is required annually from relevant suppliers (Copies on file)
Gold	No	Conflict Minerals information is required annually from relevant suppliers (Copies on file)
Tungsten (*)	No	Conflict Minerals information is required annually from relevant suppliers (Copies on file)

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a conflict minerals sourcing policy? (*)	Yes	There is a TOPEX company policy that addresses conflict minerals sourcing.
B. Is your conflict minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)	Yes	www.topeomedical.com
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	TOPEX requires Conflict Mineral Policies and/or CMRT / SEC filing documents from suppliers and key distributors.
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	TOPEX maintains annual updates from suppliers Conflict Minerals Policies and /or CMRT/SEC documents.
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	Yes	TOPEX has Conflict mineral policies and/or CMRT / SEC documents from suppliers and distributors
F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, using other format (describe)	TOPEX requires Conflict Minerals Policies and /or CMRT / SEC filed documents and maintains an annual update file
G. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
H. Does your review process include corrective action management? (*)	Yes	Stated in the TOPEX Conflict Minerals policy
I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*)	No	